

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Kwoh et al.

Serial No.:

09/523,033

Filed:

March 10, 2000

Entitled:

Method for Increasing HDL Cholesterol Level

Continuation of U.S. Appln. Ser. No. 08/482,454

Attorney Docket No.: TCS-428.0 US-1

Commissioner for Patents Washington, D.C. 20231

Examiner: I. Fields

Art Unit: 1645

TECH CENTER 1600/2900

TRANSMITTAL LETTER

Sir:

Transmitted herewith are: [X] a Supplemental Information Disclosure Statement (IDS) under 37 CFR §§ 1.56, 1.97 and 1.98; [X] Form PTO-1449 Information Disclosure Statement; [X] photocopies of the disclosed documents, to be filed in the above-identified patent application; and [X] a return receipt post card.

PAYMENT OF FEES

- A check in the amount of \$____ (check no.) in payment of the fee for submission of an information disclosure statement transmitted herewith.
- The Commissioner is hereby authorized to charge payment of any additional fees required under [X]37 CFR §§ 1.16 or 1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 50-0268. A duplicate copy of this transmittal letter is transmitted herewith.

Respectfully submitted

Michael Wesolowski, Reg. No. 50,944 Leon R. Yankwich, Reg. No. 30,237

Applicants' Representatives

YANKWICH & ASSOCIATES

201 Broadway

Cambridge, Massachusetts 02139

telephone: (617) 374-3700 telefax: (617) 374-0055

CERTIFICATE OF MAILING

The undersigned hereby certifies that this correspondence is being deposited with the U.S. Postal Service as Express mail, in an envelope addressed to the Commissioner for Patents, Washington, DC 20231, on the date indicated below.

Tebruary 11, 2003



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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.56, 1.97 AND 1.98

Dear Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants submit this Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.97 and 1.98 in the above-identified application for consideration by the Patent Office.

A modified Form PTO-1449 listing the cited documents is also enclosed, as well as, for the Examiner's convenience, copies of the documents. As this Statement is being submitted before the first Office Action on the merits, pursuant to 37 C.F.R. § 1.97(b)(3), no fee is believed to be required with this submission.

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Applicants do not intend to represent that any of the documents submitted herein is material prior art to this invention or that the list represents an exhaustive search of documents related to this invention.

Applicants respectfully request that the documents submitted herein be considered and made of record in this application.

Respectfully submitted,

Michael Wesolowski, Reg. No. 50,944

Leon R. Yankwich, Reg. No. 30,237

Applicants' Representatives

YANKWICH & ASSOCIATES

201 Broadway

Cambridge, Massachusetts 02139

telephone: (617) 374-3700 telefax: (617) 374-0055

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, postage prepaid, in an envelope addressed to the Box Patent Application, Commissioner for Patents, Washington, D.C. 20231 on the date indicated below.

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7 ebruary 11, 2003

Melanie A. Mc Tacken Melanie A. McFadden

Modified FORM PTO-1449	ATTY. DOCKET NO. TCS-428.0 US-1	SERIAL NO . 09/523,033
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	APPLICANT Kwoh et al.	
(Use several sheets if necessary)	FILING DATE March 10, 2000	GROUP 1645

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DA	
	AA	US 5,242,687	10/07/93	Tykocinskli et				
				al.			提	
	AB	US 5,338,829	08/16/94	Weiner et al.		910	<u> </u>	70
	AC	US 5,705,388	01/06/98	Couture et al.		Q		m
	AD	US 5,843,446	12/01/98	Ladd et al.				0
	AE	US 5,994,310	11/30/99	Buettner et al.			<u> </u>	
	AF	US 6,143,305	11/07/00	Stevens			20 16	
	AG	US 6,284,533	09/04/01	Thomas			8 3	
	AH	US 6,410,022	06/25/02	Rittershaus			<u> </u>	

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AJ	EP 0752886 B1	01/28/98	Mouritsen et al.				
AK	WO 90/15627	12/27/90	Arlinghaus et al.				
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AN	WO 94/24567	10/27/94	Brocia et al.				
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AQ	WO 96/39168	12/12/96	Kwoh et al.				

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•	AT	Alexander et al., <i>Immunity</i> , 1: 751-761 (1994)
	AU	Bahou et al., J. Cin. Invest., 84: 56-61 (1989)
	AV	Barter et al., J. Lipid Res., 21: 238-249 (1980)
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	AX	Bisgaier et al., <i>J. Lipid Res.</i> , 34: 1625-1634 (1993)

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	BD	Casali et al., Science, 234: 476-479 (1986)
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	BM	Gavish et al., J. Lipid Res., 28: 257-267 (1987)
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EXAMINER DATE CONSIDERED	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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